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Physiology of sleep





- Explain the difference between sleep and coma.
- 2 **Define NREM(non-rapid eye movement, SWS) and REM(rapid eye movement) sleep.**
- **3** Describe how NREM and REM sleep are distributed during a normal night sleep in the average adult human.
- 4 Describe the behavioral and autonomic features associated with NREM and REM sleep.
- 5 Describe EEG, as a physiological tool, is being used to delineate in which stage of sleep (or wakefulness) a person is.
- 6 Describe Physiology of Sleep and EEG.
- 7 Appreciate how the total sleep duration and different sleep stages vary with different ages in normal humans.
- 8 Describe the current theories about the neural basis of sleep.





Sleep: This is the state of unconsciousness from which a subject can be aroused by appropriate sensory or other stimuli.

 Sleep may also be defined as a normal, periodic, inhibition of the reticular Activating system(RAS).

Coma: This is the state of unconsciousness from which a person cannot be aroused by any external stimuli.

Awake: This is the State of readiness/alertness & ability to react consciously to various \ any stimuli.

The difference between coma and sleep is that in coma no external or internal stimuli can wake you up.

Why do we sleep? Female slides

Sleep theory #I: To rest,

- to gain relief from a hyperactive state

Sleep theory #2: **To heal**,

 Sleep also allows us to heal our bodies; by helping the immune system (our ability to fight disease), sleep deprivation affect our metabolism(our internal chemical reactions), it may also help us save energy for when we most need it

Sleep theory #3: **To learn**,

- sleep may help the human brain get better organized by filing away important memories and discarding unwanted information.

Sleep theory #4: To Dream,

- Dreaming appears to be a by-product of REM sleep. So is it possible that the main reason why we sleep is to dream? If so, why do we dream?
- Ultimate goal: Sustains our ability to reproduce successfully, by maintaining good health.





- Transitions between sleep and wakefulness manifest a circadian rhythm consisting of an average of 6–8 h of sleep and 16–18 h of wakefulness.
- Nuclei in both the brainstem and hypothalamus are critical for the transitions between states of consciousness.
- The brainstem ascending arousal system is comprised of groups of neurons that release norepinephrine, serotonin, acetylcholine, or histamine.

Functions of sleep

Neural maturation.

Facilitation of learning, memory.

Cognition.

Clearance of metabolic waste products generated by neural activity in the awake brain.

Conservation of metabolic energy.

Restore natural balances among the neuronal centers.



| Restoration theory | Preservation and protection theory |
|--|---|
| -Body wears out during the day and sleep is necessary to put it back in shape \ normal physiological condition. | -Sleep preserve energy and it provides protection \ activity. |
| -this is supported by findings that many of the major restorative functions in the body like muscle growth, tissue repair, protein synthesis, and growth hormone release occur mostly, or in some cases only, during | -for example, both body temperature and caloric demand decrease during sleep, as compared to wakefulness. |
| sleep. | -This theory has studied from animals. الى يدخلون في بيات شتوي عشان يحفظون طاقتهم |

Sleep: Mechanism

Sleep is caused by an Active Inhibitory Process.

- Early theory of sleep: The excitatory areas of the upper brain stem, the reticular activating system, simply became fatigue during the day activities, waking day and became inactive as a result.

- Current theory: Sleep is caused by an active inhibitory process **Stimulation of some specific** areas of the brain can produce sleep: • Raphe nuclei in the medulla oblongata: fibers from these raphe neurons secrete serotonin. • Drugs that blocks the formation of serotonin administered to an animal, the animal cannot sleep for the next several days. Serotonin is associated with the production of sleep.

Sleep is promoted by a complex set of neural and chemical mechanisms:

- **Daily rhythm** of sleep and arousal suprachiasmatic nucleus of hypothalamus.
- Pineal glands secretion of melatonin (increased melatonin makes you sleepy).
 Slow-wave sleep: Raphe nuclei of the medulla and pons, the secretion of serotonin associated with initiation of sleep.
 - **REM sleep**: Neuron of pons.



Consists of two parts:



Mesencephalic part:

Composed of area of grey matter of midbrain and pons when this area is stimulated, nerve impulses going to thalamus and disperse to the cerebral cortex. This greatly affects the cortical activity. Mesencephalic part causes consciousness.

Thalamic part

Consists of grey matter in the thalamus. When the thalamic part is stimulated, it develop activity in the cerebral cortex. Thalamic part causes arousal that is awakening from deep sleep (sensory input, pain, light). The RAS and cerebral cortex continue to activate each other through a feedback system. the RAS also has a feedback system with the spinal cord.



| Age group | Duration |
|-----------|-------------|
| New born | 15-20 hours |
| Children | 10-15 hours |
| Adults | 6-9 hours |
| Old age | 5-6 hours |



Table 1

Sleep duration recommendations in the US and Canada

| National sleep foundation (US) | | AASM/SRS (US) Age group | |
|--------------------------------|-------------|----------------------------|--|
| Age group Recommendation | | | |
| Newborns (0–3 months) | 14-17 hours | Newborns (0-3 months) | |
| Infants (4–11 months) | 12-15 hours | Infants (4–11 months) | |
| Toddlers (1–2 years) | 11–14 hours | Toddlers (1–2 years) | |
| Preschoolers (3–5 years) | 10-13 hour | Preschoolers (3-5 years) | |
| Children (6–13 years) | 9–11 hours | Children (6–12 years) | |
| Teenagers (14–17 years) | 8-10 hours | Teenagers (13–17 years) | |
| Young adults (18–25 years) | 7-9 hours | Adults (18–60 years) | |
| Adults (26–64 years) | 7–9 hours | | |
| Older adults (≥65 years) | 7–8 hours | | |

Polysomnography "sleep study":

Is a test used to diagnose sleep disorders, polysomnography records your brain waves, the oxygen level in your blood, heart rate and breathing as well as eye and leg movements during the study





REM (Rapid eye movement) sleep

NREM (NON rapid eye movement)-(SWS) slow wave sleep

There are two types of sleep:

I. Non Rapid Eye Movement Sleep [Slow Wave Sleep - Dreamless]

- EEG waves are generally of low frequency.
- (NREM) sleep is not associated with rapid eye movements .
- 2. Rapid eye movement sleep [Dreamful].
 - this type of sleep rapid eye movements occur (Neurons of the pons)

Both types alternate with each other.

Dr.: NREM has 4 stages while REM has stage. To sum up , the total stages of sleep are 5 stages.

Distribution of Sleep Stages

Female slides

Note: Most sleep during each night is of a slow wave Lasts for 80-90 minutes. Dreams / nightmare even occur. The difference is that the dreams in slow wave sleep are not remembered but in REM, dreams can be remembered.

In a typical night of sleep, a young Adult

• SWS occupies most of the total night sleep time (75-80%), it is interrupted by intervening REM sleep periods, every 90 minutes. (REM sleep occupies 20-25% of sleep time.)

- first enters into NREM sleep, passes through stages I , 2 , 3 & 4 SWS, then, 60-100 min from sleep onset, goes into the first REM sleep episode.
- This cycle is repeated at intervals of about 90 min throughout the 8 hours of night sleep.
- There are 4-6 sleep cycles/night (& 4-6 REM periods per night)

• As the night goes on \rightarrow there is progressive reduction in stages 3 & 4 sleep and a progressive \uparrow in REM sleep.





Stages of Sleep: recorded by EEG

| Waves | Frequency | Type of activity | Associated with/occurs |
|--|---------------|--|--|
| alpha 1 second MMMAMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMM | 8-13hz | Smooth electrical activity | -associated with a state of relaxation. -awake but non-attentive. -large ,regular Alpha waves. |
| beta 1 second 1 second | 14-40hz | Irregular electrical activity | -associated with a state of arousal. -awake and attentive -low amplitude, fast,irregular Beta waves. |
| theta | 4-7hz | _ | -occurs intermittently during early stages of slow wave sleep, and REM sleep. |
| delta | less than 4hz | Regular synchronous electrical activity (synchronous means all together so these waves will join together to form a big wave) | -Occurs during the deepest stages of slow-wave sleep. |



from Beta to Delta the Amplitude of the waves increase







REM Sleep (Paradoxical sleep) (Desynchronized sleep)

 \rightarrow In a normal night of sleep, episodes(bouts) of REM sleep lasting for 5 to 30 minutes usually appear on the average after every 90 minutes (The first such period occurring 80-100 minutes after the person falls asleep)

 \rightarrow REM sleep is not as restful as SWS .

→When the person is extremely sleepy, each episode of REM sleep is short(the duration of each bout of REM is very short), and it may even be absent.

→Conversely, as the person becomes more rested through the night, the durations of the REM episodes(bouts) increase.



• **Possible cause of REM sleep**: it's not understood why slow wave sleep is broken periodically by REM sleep.

Drugs that mimic the action of acetylcholine increase the occurrence of REM sleep.

• It has been postulated that the large acetylcholine secreting neurons in the upper brain stem reticular formation might through their extensive efferent fibers activate the brain, even though the signals are not channeled appropriately in the brain to cause normal conscious that is characteristic of wakefulness.

This mechanism theoretically could cause the excess activity that occurs in certain brain regions in REM sleep.

*REM sleep also called dreamful sleep.



Stages of slow-wave(non rapid eye movement) sleep

| stage | Description | EEG Findings |
|-------|--|---|
| 1 | This is an initial stage between awakening and sleep It normally lasts from I-7 min The person feels relaxed with eyes closed If awakened, the person will frequently say that he has not been sleeping | Alpha waves diminished and Theta waves appear on EEG. |
| 2 | This is the first stage of true sleep The person only experiences only light sleep It's a little harder to awake the person Fragment of a dream may be experienced Eyes may slowly roll from side to side | Shows sleep spindles (sudden,sharply pointed waves) I2-I4 hz (cycles/second). |
| 3 | This is the period of moderately deep sleep The person is very relaxed Body temperature begins to fall, B.P decreases Difficult to wake the person up This stage occurs about 20-25 min after falling asleep | Shows mixture of sleep spindles and delta waves. |
| 4 | • Deep sleep starts person become fully relaxed, Respond slowly if awakened | Dominant by delta waves. |







- There are rapid eye movements
- Muscle tone throughout the body (except eye muscles) is exceedingly depressed
- Active form of sleep associated with dreaming
- Difficult to arouse by sensory stimuli.
- Heart rate and respiration become irregular which is characteristic of a dream state.
- Brain is highly active in REM sleep and brain metabolism may be increased by 20%.
- EEG.: Pattern shows brain wave of wakefulness, REM sleep is a type of sleep in which the brain is quite active, but this brain :
 - -is not aware cut-off the external world.
 - -its activity is not channeled into purposeful external motor activity.

- REM sleep occupies 80% of total sleep time in premature infants & 50% in full-term neonates.
- Thereafter, the proportion of REM sleep falls rapidly and plateaus at about 25% until it falls further in old age.
- Children have more total sleep time & stage 4 sleep than adults.



- **CVS**: Pulse Rate, cardiac output, blood pressure & vasomotor tone are decreased but the blood volume is increased because of reabsorption (fluids enter circulation)
- **Respiration**: Tidal volume & respiratory rate are decreased. BMR is decreased 10-15%.
- **Urine volume**: Decreased
- Secretions: Salivary/lacrimal secretions are reduced, gastric/sweat secretions are increased. Due to digestion
- **Reflexes**: Superficial reflexes are unchanged except plantex reflex, but Deep reflexes are reduced.

Muscles: Relaxed

-Effects produced by awakening after 60-100 Minutes:

- Equilibrium disturbed.
 Neuromuscular junction fatigue.
 Threshold for pain is lowered.
- 4. Some cells shrink.



-Growth hormone, melatonin, cortisol, leptin, and ghrelin levels are highly correlated with sleep and circadian rhythmicity.



| Pr | operties | Slow wave sleep | REM sleep |
|------------------------|------------------------------------|-----------------|---------------------------------|
| | Heart rate: → | Slow decline | Variable with high bursts |
| Autonomic | Respiration: → | Slow decline | Variable with high bursts |
| activities | Thermoregulation: \rightarrow | Maintained | Impaired |
| | Brain temperature: \rightarrow | Decreased | Increased |
| | Cerebral blood flow: \rightarrow | Reduced | High |
| Cognitive State | | Vague thoughts | Vivid dreams, well organized |
| Hormone secretion | Growth hormone: secretion → | High | Low |



| | Properties | Slow wave sleep | REM sleep |
|--------------------------|--|---------------------------------------|----------------------------------|
| Skolotal | Postural tension: → | Progressively reduced | Eliminated |
| muscular | Knee jerk reflex: → | Normal | Suppressed |
| Phasic twitches | Phasic twitches: → | Reduced | Increased |
| | Eye movements: → | Infrequent, slow, uncoordinated | Rapid, coordinated |
| Neural firing rates | Cerebral cortex (sustained) activity: → | Many cells reduced and more phasic | Increased firing rates, tonic |
| Events related potential | Sensory-Evoked: → | Large | Reduced |



Although several theories of sleep have been proposed, most current evidence is in favor of the following:



Serotonin, produced by **Raphe Nuclei** which is in the **medulla oblongata**, induces **SWS** Sleep.



The mechanism that triggers REM sleep is located in the **Pontine Reticular formation** & the **Ponto-Geniculo-Occipital circuit** is instrumental in generation of REM sleep.



Melatonin (released from Pineal Gland) plays a role in day-night alteration of sleep. (also given as supplements to help induce sleep)



Role of Serotonin & Melatonin in SWS

Raphe Nucleus (Serotonin)

- -Stimulation of Raphe Nuclei (in the lower Pons & Medulla) Induces SW.
- Destruction of **Raphe Nuclei** renders the animal Sleepless for several days until it dies.
- Administration of drugs that **block Serotonin formation** make the animal sleepless for several days.
- Transecting the brainstem at the level of **mid pons** of an animal, leaves the animal in a state of intense wakefulness for a period of days.

- The Transection cuts the nerves going from the inhibitory Serotonin-Secreting Raphe Nuclei to the Bulboreticular Facilitatory area of the RAS, **indication** that the Serotonin-Secreting Raphe fibers normally **Inhibit** the Bulboreticular Facilitatory area to produce sleep.

2 Melatonin

- Injection of melatonin Induce sleep.

- Stimulation of the supra-Chiasmal Nucleus (SCN) of Hypothalamus By light falling on the retina Inhibits Melatonin release From Pineal Gland & produce wakefulness. (that's why we sleep in the dark)



Physiological mechanisms of sleep & waking

Acetylcholine

- One of the most important neurotransmitters involved in arousal.
- Two groups of acetylcholinergic neurons are located in Pons & basal forebrain

2 Muramyl peptide

-induces sleep

Neural Activity of Neurotransmitter Systems During Sleep and Arousal

| Neurotransmitter | Site of cell bodies | Activity During | | |
|------------------|------------------------|-----------------|-----|----------|
| | | Wakefulness | SWS | REM |
| Serotonin | Raphe Nuclei | High | Low | Very Low |
| Norepinephrine | Locus Coeruleus | High | Low | Very Low |
| Acetylcholine | Brainstem | High | Low | High |





- RAS: Is a diffuse network of nerve pathways in the brainstem connecting the spinal cord, cerebrum, cerebellum and mediating overall level of consciousness.
- Normal sleep is under control of the reticular activating system in the upper brainstem and diencephalon











-70 million people in the US suffer from sleep problems [50% have chronic sleep disorder] Insomnia = 30 million (most common) sleep apnea= 18 million Narcolepsy= 250,000 Americans have Motor Car Accidents= 100,000 traffic fatalities =1500 drowsy driving/annum (Per year) -Approximately \$16 billion annually the cost of healthcare in USA & result in \$50 billion annually in lost productivity.

Disorder of slow wave sleep:
 I- Sleep talking / sleep walking

 [common in children]

 2- Night tremors: Are seen in III, IV stage of slow wave sleep

 [common in children].

 3- Night terrors: Sudden arousal from sleep and intense fear accompanied by physiological reactions (e.g., rapid heart rate, perspiration) that occur in SWS.

Disorder of REM sleep: 1- Nightmare: Frightening dream (Awake from REM). 2- Sleep Paralysis: Subject awake but unable to speak or move. 3- Sleeping Sickness.

Insomnia: inability to sleep

Causes stress, affect social life

Drug dependency insomnia: An insomnia caused by the side effect of ever increasing doses of sleeping medications

> Somnolence: Extreme sleepiness



Somnambulism: Walking during sleep

Sleep apnea:

Cessation of breathing while sleeping(failure to breathe when asleep) Especially in obese patients, causes heart and other serious diseases

Narcolepsy:

A sleeping disorder characterized by periods of irresistible sleep, attacks of cataplexy, sleep paralysis, and hypnagogic hallucinations. Excessive sleepiness may occur while talking, sitting, decreased ability to regulate sleep.

| | | Female slides | | |
|--|--|--|--|--|
| Symp | Symptoms of Narcolepsy | | | |
| I- Sleep attack | 2- Cataplexy | 3- Sleep paralysis | | |
| An irresistible urge to sleep during the day, after which the person awakes feeling refreshed. | Complete paralysis that occurs during walking. | Paralysis occurring just before a person falls asleep . | | |



1-State of unconsciousness from which a subject can be aroused by appropriate sensory or other stimuli.

| A) Coma | B) Sleep | C) Cataplexy | D) Awake |
|---------------------------------------|--|--|----------------------------|
| 2- Which of the fo | llowing is true abou | t REM sleep? | |
| A) High muscle tone | B) Increased phasic twitches | C) Occurrence is dire proportional with fat | D) Normal heart rate |
| 3- which of the fo | llowing is associate | d with a state of arou | usal? |
| A) Beta | B) Alpha | C) Theta | D) Delta |
| 4- Stimulation of the retina inhibits | the Supra-chiasmo s which of the follow | al nucleus of hypothe ving? | alamus by light falling on |
| A) Serotonin | B)Acetylcholine | C) Melatonin | D) Muramyl peptide |



1- what is the difference between sleep and coma?

In slide 3

2- define NREM and REM sleep?

In slide 9

3- mention some of the physiological changes in sleep?

In slide 16



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