

GIT Block
PhysiologyTeam
431

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Gastrointestinal Physiology

Lecture 3

Swallowing (Deglutition)

Physiology of Esophageal Motility

(Chapter 63: 763-765)

Learning objectives:

- Swallowing process and its stages
- *Oral stage
- *Pharyngeal stage
- *Esophageal stage
- Types of esophageal peristalsis
- Function of lower esophageal sphincter
- Prevention of esophageal reflux by valvelike mechanism
- Achalasia
- Incompetence of lower esophageal sphincter

Swallowing (Deglutition):

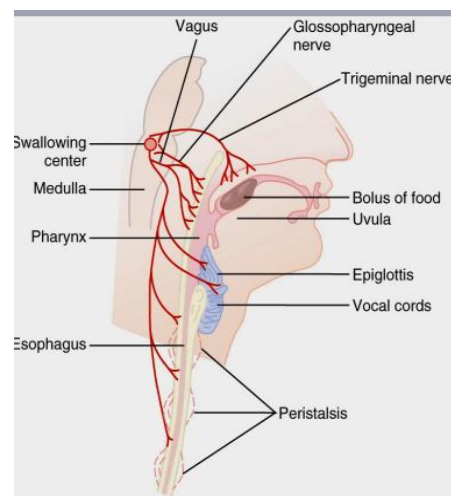
Swallowing is the ordered sequence of events that propel food from the mouth to the stomach.

Swallowing can be initiated voluntarily, but thereafter it is almost entirely under reflex control.

This reflex inhibits respiration and prevents the entrance of food into the airway passages.

Swallowing Center:

medulla Sensory input from pharynx and esophagus coordinates activity from vagal nuclei with other centers (e.g., inhibits respiratory center)



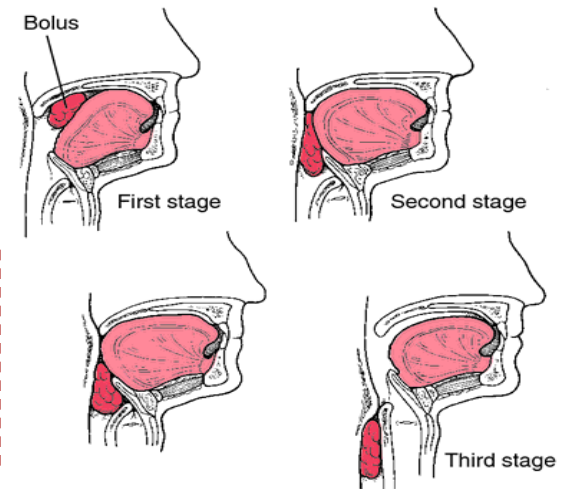
Stages of Swallowing

Swallowing is initiated voluntarily in the mouth, but thereafter is under involuntary or reflex control. The reflex portion is controlled by the **swallowing center** in the **medulla**.

- 1.Oral Stage (voluntary)
- 2.Pharyngeal stage (involuntary)
- 3.Esophageal stage (involuntary)

Watch this video first in order to understand the stages

http://www.youtube.com/watch?v=Yg_kAgBlp9Y



1)oral stage :

- ✓ The first stage of swallowing involves the voluntary rolling of the chewed food (bolus) posteriorly into the pharynx by the upward and backward pressure applied by the tongue against the palate.
- ✓ The pharynx contains high density of somatosensory receptors. The activation of these receptors initiates the involuntary swallowing reflex in the medulla.

The oral stage is voluntary so it can be stopped by the person

Somatosensory receptors can detect the size & type of bolus. (e.g. Juicy, dry)

2)pharyngeal stage: “ Very critical stage”

At the pharynx, the bolus of food stimulates epithelial swallowing receptor areas all around the pharynx opening and impulses from this area pass to the swallowing center in brain stem and accordingly initiate a series of autonomic pharyngeal muscle contractions as follows:

1. The soft palate: is pulled **upward** to close the posterior nares which prevents the food from entering the nasal cavities.

2. The palatopharyngeal folds on each side of the pharynx are pulled medially to approximate each other. These folds form a sagittal slit through which food must pass into the posterior pharynx.

Sagittal slit which looks like an arrow that direct the food down into the pharynx



Thus you cannot produce sound during swallowing, because the air is not passing through vocal cords

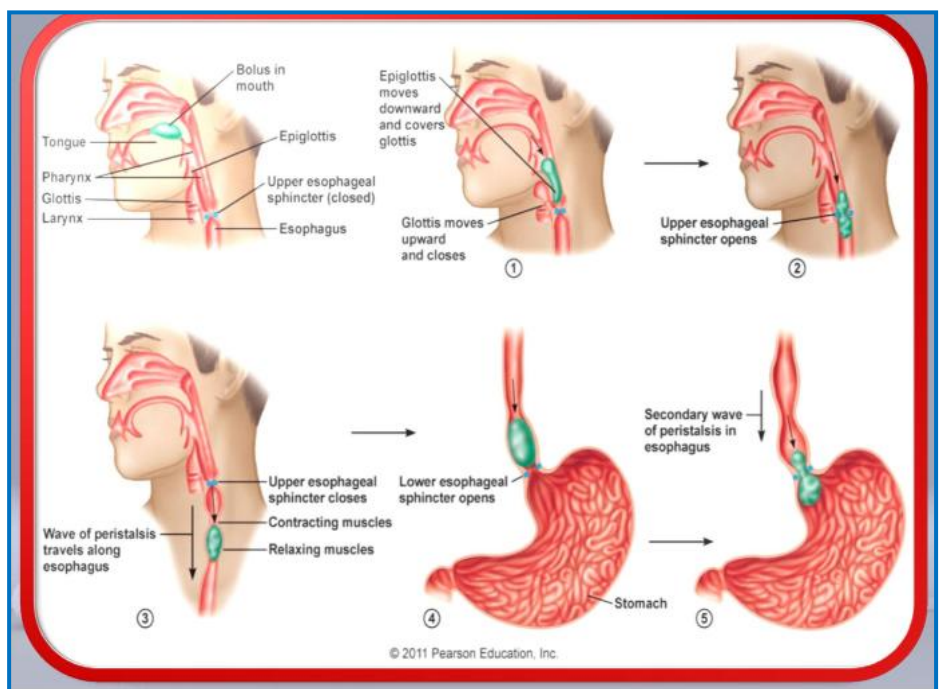
3. The vocal cords of the larynx are strongly approximated and the larynx is pulled upward and anteriorly by the neck muscles. These actions and the ligaments that prevent the epiglottis from moving upward, cause the epiglottis to swing backward over the opening of the larynx. All these effects prevent food from going into the nose and trachea.

N.B. removal of epiglottis does not cause serious debility in swallowing. (Because there are other protective reflexes can protect airway passages from inhalation of food)

4. The upward movement of the larynx pulls up and enlarges the opening to the esophagus.
5. The upper esophageal sphincter (the pharyngoesophageal sphincter) relaxes and allows food to move freely from the posterior larynx into the upper esophagus.

The sphincter is closed when there is no swallowing to prevent air from passing to the esophagus .

In swallowing it relaxes to allow passage of food.



6. Once the larynx is raised and the pharyngoesophageal sphincter relaxes, the entire muscular wall of the pharynx contracts (superior, middle, then inferior parts) propelling the food by peristalsis into the esophagus.
7. During the pharyngeal phase, the swallowing center inhibits the respiratory center of the medulla which stops respiration during swallowing.

The more activation of somatosensory receptor, the stronger contraction of pharynx occur



Food is prevented from going back to the nose via the soft palate (moving upward and posteriorly)

Food is prevented from reaching the larynx by the vocal cords, epiglottis and refluxes.

Summary of pharyngeal stage of swallowing:

The trachea is closed, the esophagus is opened, and a fast peristaltic wave initiated by the nervous system of the pharynx forces the bolus of food into the upper esophagus (time of process is < 2 seconds).

Nervous initiation of the pharyngeal stage of swallowing:

- The most sensitive areas of the posterior mouth and pharynx for initiating the pharyngeal stage of swallowing are located in a ring around the pharyngeal opening including the tonsillar pillars.
- Sensory impulses are received by the nucleus tractus solitarius (NTS) via the medulla oblongata through the 5th & 9th cranial nerves. (Trigeminal and glossopharyngeal) 
- The successive stages of swallowing are then automatically initiated by swallowing center in medulla and lower portion of the pons.
- The motor impulses to the pharynx and upper esophagus are transmitted from the swallowing center by the 5th, 9th, 10th and 12th cranial nerves and few of the superior cervical nerves. (Trigeminal, glossopharyngeal, vagus and hypoglossal) 

3)esophageal stage :

The esophagus is a conduit to move food rapidly from the pharynx to the stomach.

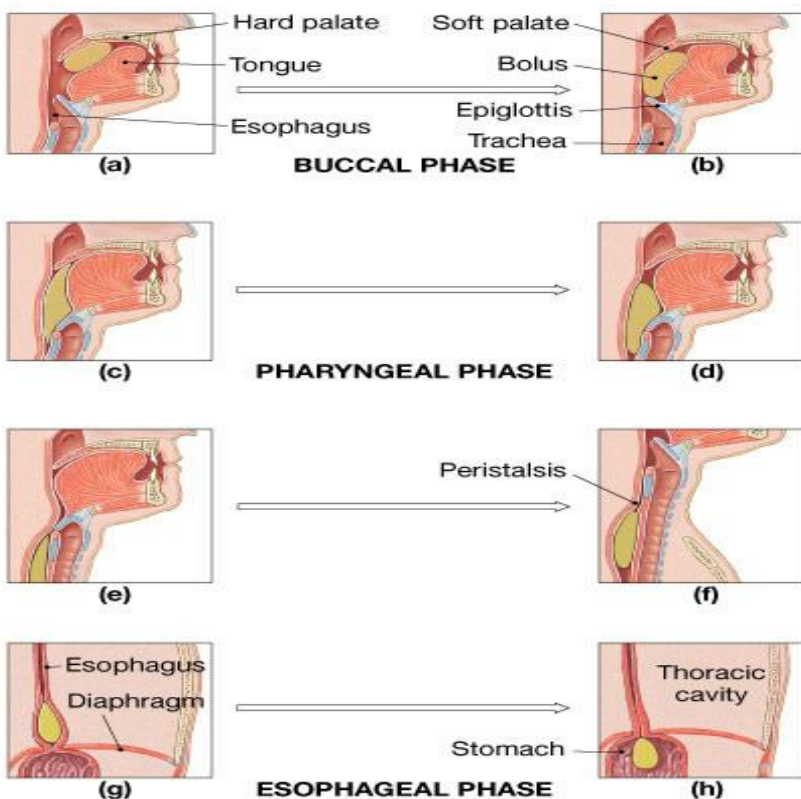
Physiologically, esophagus is divided into three functionally distinct regions:

- 1- Upper esophageal sphincter (UES) (between the lower part of the pharynx and esophagus)
- 2- Esophageal body (main part)
- 3- lower esophageal sphincter(LES) (between the lower part of esophagus and the stomach)

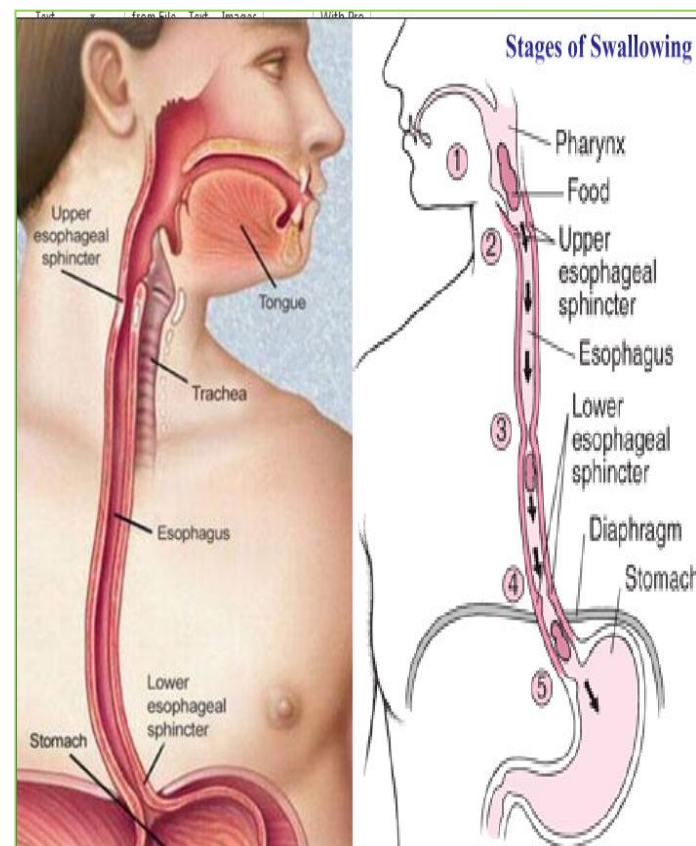
- The musculature of the upper third of the esophagus is skeletal muscle (peristaltic waves are controlled by impulses from glossopharyngeal and vagus nerves) while the musculature of the lower two thirds of the esophagus is smooth muscle (controlled by the vagus through connections with the esophageal myenteric nervous system).

Upper third	Glossopharyngeal and vagus
Lower two thirds	Vagus through myenteric system

- When bolus of food passes through UES, the swallowing reflex closes the sphincter so food cannot reflux into the pharynx. (**within about 2 seconds**)



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Types of Esophageal Peristalsis:

The esophagus exhibits two types of peristaltic movements, primary and secondary peristalsis. The primary peristalsis (coordinated by the swallowing reflex) is simply a continuation of the peristaltic wave that begins in the pharynx and spreads into the esophagus during the pharyngeal stage of swallowing. This wave passes from the pharynx to the stomach in 8-10 sec. If this primary peristaltic wave fails to move the food to the stomach, then the distention in the esophagus caused by the food will initiate secondary peristaltic wave (initiated by ENS) in response to distention which will continue until all the food is emptied into the stomach.

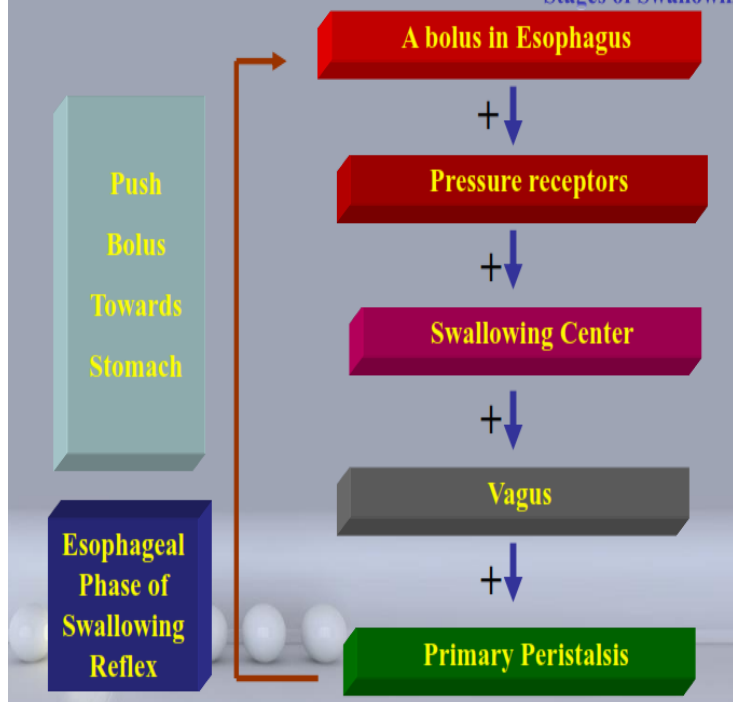
primary peristalsis

- *Continuation of pharyngeal peristalsis
- *Coordinated by swallowing center (vagus)
- *Cannot occur after vagotomy (striated muscle)

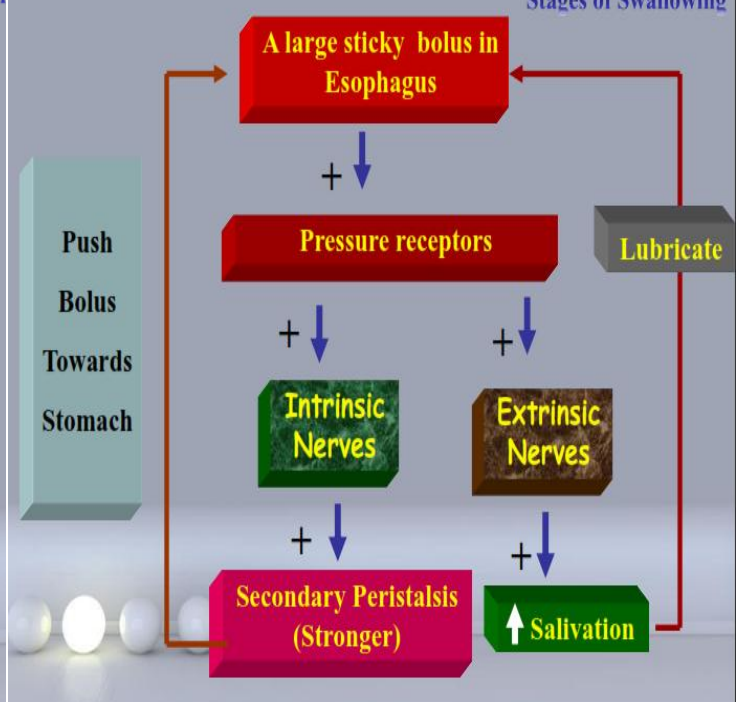
secondary peristaltic

- *Stretch related afferent sensory input to ENS and swallowing center are both involved. (mainly by the ENS). (Extrinsic nerves increases salivation to lubricate the bolus and help its passage to the stomach)
- *Can occur after vagotomy (SM) vagotomy=cut of vagus nerve

Stages of Swallowing

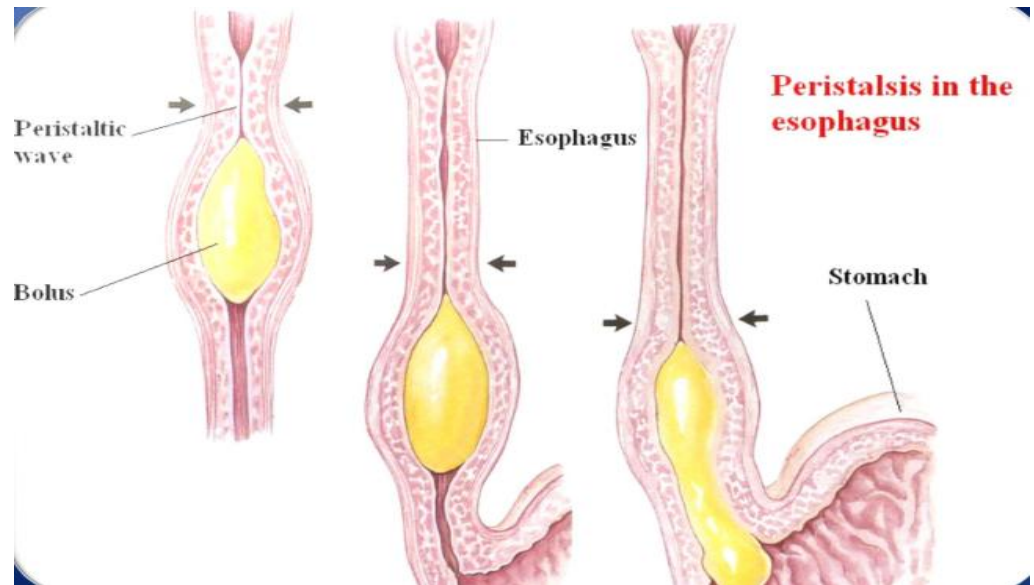


Stages of Swallowing



● Receptive Relaxation of the Stomach.

When esophageal peristaltic waves reaches the stomach, the stomach relaxes through inhibition of myenteric neurons which prepares the stomach to receive the food that is propelled into the esophagus during swallowing.



Esophageal sphincters:

1.The upper esophageal sphincter (UES)

- It prevents entry of air into esophagus.
- It relaxes during swallowing for about 1 second allowing the bolus to be forced through the relaxed UES.

2.The lower esophageal sphincter (LES)

The esophageal sphincter is formed by the esophageal circular muscle located in an area of (about) ~ 3 cm upward of the junction with the stomach.

* With initiation of esophageal peristalsis, The LES opens mediated by impulses in vagus nerve.

* In absence of esoph. peristalsis, the sphincter remains tightly closed to prevent reflux of gastric contents into esophagus.

Functions of LES:

- * Anatomically this sphincter is not different from the remainder of the esophagus. However, physiologically it normally remains **tonically constricted**, in contrast to the mid and upper portions of the esophagus which normally remain completely relaxed.
- * However when a peristaltic wave of swallowing passes down the esophagus, it relaxes the LES (for 7-10 sec.) and allows easy propulsion of the swallowed food into the stomach.

Control of LES function:

- * Contraction of the circular musculature of the sphincter is regulated by nerves, (extrinsic & intrinsic), hormones and neuromodulators.

✓ Nerves:

- * Between swallows, tonic **vagal cholinergic(parasympathetic)** impulses maintain contraction to keep the sphincter closed.
- * Stimulation of **sympathetic nerves** to the sphincter also causes the LES to contract
- * During swallowing, efferent impulses in the **vagus are inhibitory** causing the sphincter to relax. The transmitter probably being nitric oxide or vasoactive intestinal peptide (VIP). **(to relax the vegus secrete inhibitory neurotransmitters)**

✓ hormones:

- * The hormone gastrin, released from the stomach by food, contracts LES.
- * Secretin and cholecystokinin (CCK) released from the upper intestine relax the LES.

Contract LES	Relax LES
vagal cholinergic(parasympathetic)	Nitric oxide
sympathetic nerves	vasoactive intestinal peptide (VIP)
gastrin	Secretin
	cholecystokinin (CCK)

It is necessary to have a barrier at the gastroesophageal junction (why?)

* Pressure in the esophagus is the same as the intrathoracic pressure i.e. mostly -ve (except for a short intra-abdominal segment). So that pressure in the stomach is always higher than the esophagus.

* The principle function of the LES is to prevent **reflux** of stomach contents into the esophagus.

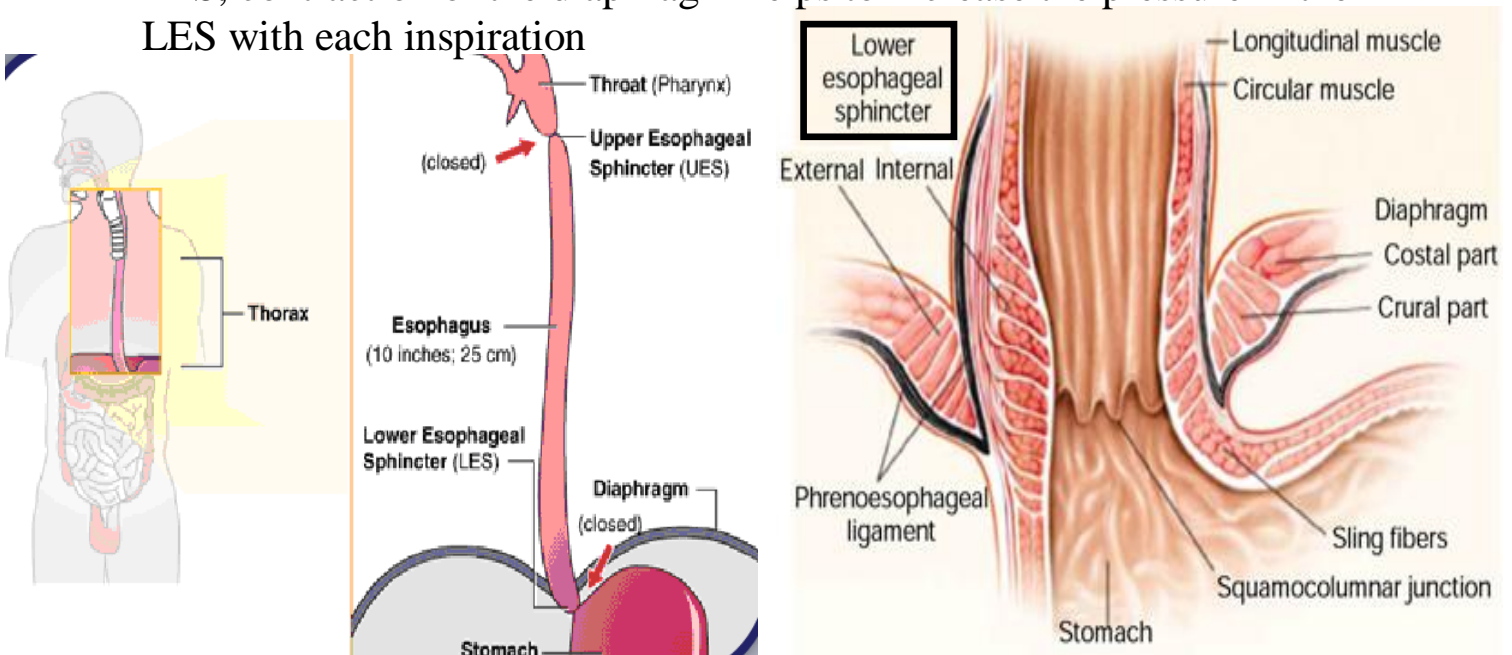
Intrabdominal pressure is positive

(Because of the pressure difference there will be tendency of movement of the stomach juices and food into the esophagus, so the barrier is important to prevent this reflux)

Competence and the antireflux functions of the LES is due to:-

- 1- Its resting pressure (15-30 mmHg). (due to tonic contraction)
- 2- A valve like mechanism of the distal end of the esophagus that lies immediately beneath the diaphragm and is exposed to +ve intra-abdominal pressure. This flutter-valve closure of the lower esophagus by the increased intraabdominal pressure prevents the high pressure in the stomach from forcing its contents into the esophagus. (as mentioned before the intrabdominal pressure is positive, because the LES is located in the abdomen the pressure will act on it)

- 3- The crura of the diaphragm wrap around the esoph. At the level of LES, contraction of the diaphragm helps to increase the pressure in the LES with each inspiration



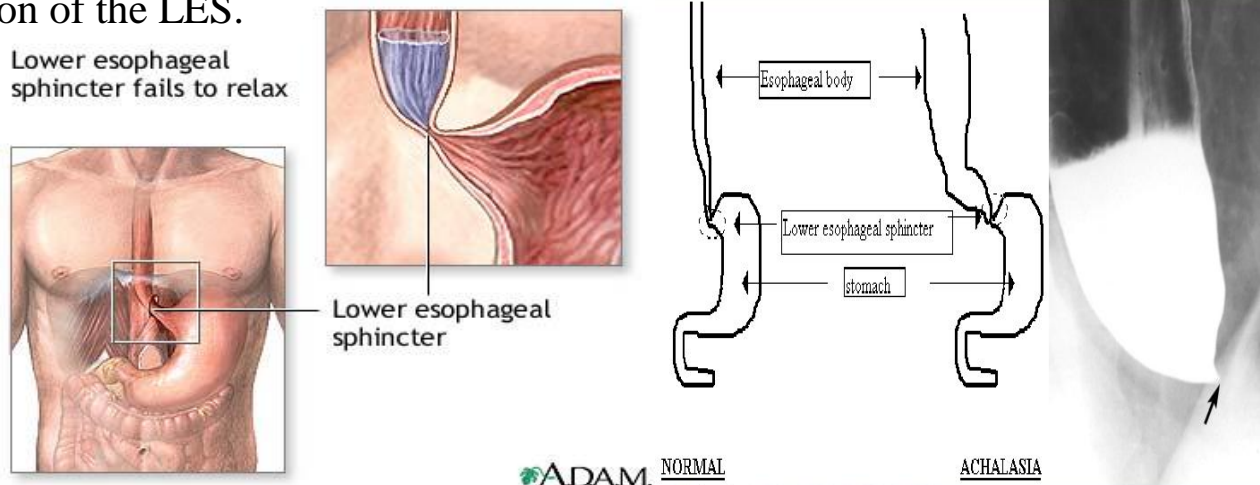
Pathological conditions:

Achalasia:

It is a condition due to high resting pressure of the LES so; it fails to relax during swallowing. As a result, food transmission from the esophagus into the stomach is impeded or prevented.

* Physiological basis of this condition is either pathology of or absence of the **myenteric plexus containing VIP & NO** in the lower third of esophagus. (as mentioned previously the VIP and NO are inhibitory and relax the LES therefore if they are absent no inhibition will occur and the contraction will remain)

* The musculature of the lower esophagus instead remains contracted and the myenteric plexus has lost the ability to transmit a signal to cause relaxation of the LES.

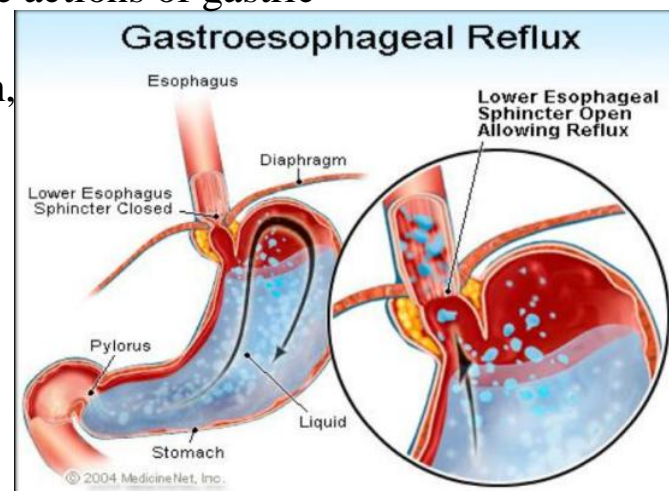


Incompetence of the LES

* Incompetence cause **esophageal reflux** and result in chronic exposure of esophageal mucosa to acid. The stomach contents are highly acidic and contain many proteolytic enzymes.

* The esophageal mucosa, except in the lower eighth of esophagus, is not capable of resisting for long the digestive actions of gastric secretions.

* It can lead to reflux esophagitis, heart burn, esophageal ulcer and dysplastic changes that may become cancerous.



Summary:

- Swallowing is initiated **voluntarily**, but thereafter is almost entirely **under reflex control**
- The swallowing reflex control is done by **swallowing center in the medulla**
- Involuntary swallowing reflex is initiated in the medulla by **activation of somatosensory receptors in the pharynx**
- Pharyngeal stage is the **most critical stage** and should not exceed **2 seconds** since the respiration is arrested.
- Respiratory center is inhibited by **swallowing center during the pharyngeal stage**
- The most sensitive areas of the posterior mouth and pharynx for initiating the pharyngeal stage of swallowing are located in **a ring around the pharyngeal opening including tonsillar pillars**
- Upper third of esophagus is **contracted by IX and X nerves**, while lower two third is contracted by **the Vagus through myentric plexus**
- Primary peristalsis is **coordinated by swallowing reflex**, while secondary peristalsis is initiated by **ENS in response to esophagus distention**
- Gastrin causes **contraction of LES**
- NO, VIP, secretin, CCK cause **relaxation of LES**
- Achalasia is a condition in which **LES fails to open** due to high resting pressure

Questions:

1. Which one of the following structures is responsible of preventing the entrance of food into nasal cavity?

- A. Soft Palate
- B. Palatopharyngeal folds
- C. Vocal cords
- D. Epiglottis

2. The most critical stage of swallowing is:

- A. Oral stage
- B. Pharyngeal stage
- C. Esophageal stage

3. Which one of the following causes contraction of LES

- A. VIP
- B. Secretin
- C. NO
- D. Gastrin

Answers: 1=A / 2=B / 3=D