PATIENT SAFETY

Prof. Ahmed Albarrak College of Medicine, King Saud University

Color coding: Important Notes

EMR Vertical

EHR Horizontal	Date of birth	vaccination s	allergy	Goes to hospital	Discharge report		
			<u> </u>	СВС			
				ultrasound			
FUD, having the array multiple array institute and lifeland				Appendicitis	Discharge report will have important information shout		
EHR: horizontal, across multiple organizations, and lifelong. EMR: only one organization EHR has broader information EMR has more information We can't say one is larger than the other			appendecto my fever	the patient,	Discharge report will have important information about the patient, allergies, blood type, procedures, diagnosis, and serious diseases		
				X ray			
				culture			
				discharge			
				£	I		
		,	nt visits the hospi letails won't be ve				

Patient Safety

Definition of Patient Safety;

- Freedom from injury or illness resulting from the processes of care
- Patient safety is the avoidance and prevention of patient injuries or adverse events resulting from the processes of healthcare delivery
 - Defined by AHRQ (Agency for Healthcare Research and Quality) and NQF (National Forum for Quality Measurement and Reporting)

Patient Safety Issues

 There are many patient safety issues: medication errors, wrong site surgery, restraint injuries, falls, retained foreign objects, delay in diagnosis, infant abduction, misdiagnosis, communication errors, transfusion errors, surgical site infection, critical lab results, skin tears, awareness during OR, OR fires, MRI safety, infections, Inpatient suicides

Delay in diagnosis could be due to:

- 1. Crowdedness ex. ER
- 2. Not taking action (not reaching the right person at the right time)
- 3. Miscommunication

What is Medical Error? Ex. Hospital acquired infections

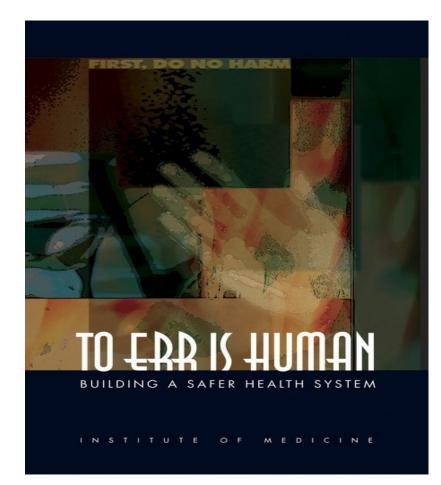
- Definition according to IOM
 - Failure of a planned action to be completed as intended or the use of a wrong plan to achieve an aim

• Examples:

- adverse drug events
- surgical injuries and wrong-site surgery
- restraint-related injuries or death
- falls
- pressure ulcers

Do No Harm

Medical Errors!



The Harvard study of Patient Safety

• A Study of the impact of medical errors:

- Harvard Medical Practice Study Retrospective study
 - Reviewed >30,000 charts from randomly selected patients in acute and non-acute hospitals in New York
 - 3.6% of hospitalized patients experienced adverse events resulting in harm
 - 70% of these events resulted in disability lasting less than 6 months, 13.6% resulted in death, 2.7% permanent disability

The Australian study of Patient Safety

Quality of Australian Health Care Study in 1995

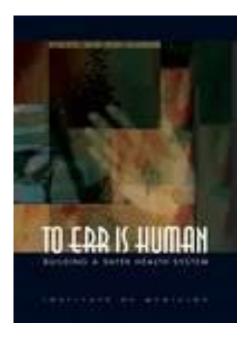
- Placed greater emphasis on quality of care than negligence, i.e., could the adverse event be prevented?
- Reviewed >14,000 charts from 28 hospitals
 - 16.6% of hospitalized patients experienced adverse events
 - 77.1% of those had disability lasting less 12 months
 - 13.7% with permanent disability
 - 4.9% ended in death
 - 51% of the adverse events were considered preventable

High medical errors → high adverse events Not all medical errors lead to adverse events Medical errors are so common that they are considered an epidemic

The History of Patient Safety

- In early 1995 an epidemic of errors flash up case study
 - Michigan --a surgeon performing a mastectomy on a 69-year-old patient removed the wrong breast
 - New York--a woman died when a doctor mistook her dialysis catheter for a feeding tube and ordered food to be pumped into her abdomen
 - Tampa --a 51-year-old diabetic had the wrong foot amputated and a 73-year-old retired electrician died when a therapist mistakenly disconnected his ventilator

Err is Human;

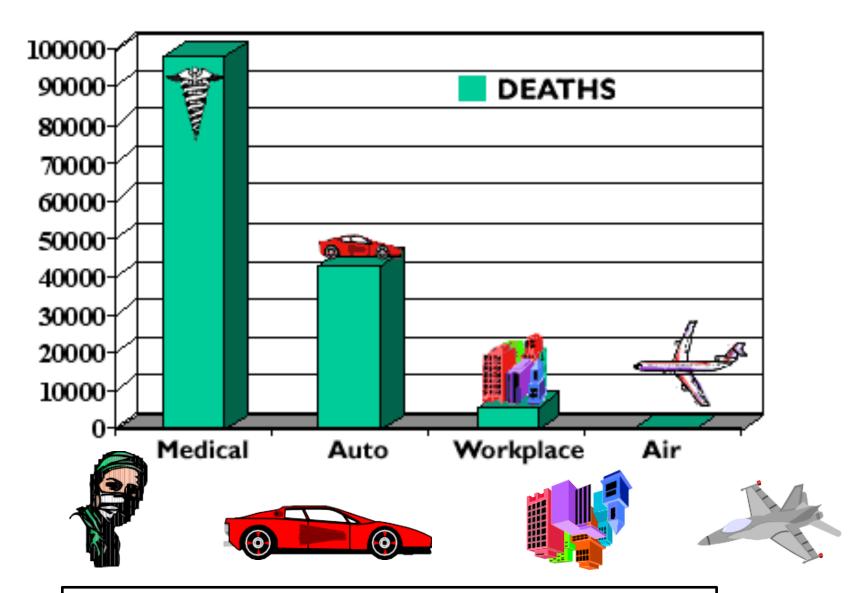


- The Institute of Medicine (IOM) study "To Err is Human; Building a Safer Healthcare System"
- Adverse events occur in 2.9 to 3.7% of all hospitalizations
- 44,000 to 98,000 patients dies a year as a result of medical errors
- Source at

http://books.nap.edu/openbook.php?isbn= 0309068371

- Institute of Medicine (IOM) estimated that around 98,000 patients die each year as a consequence of preventable errors. Likewise, a study of two UK hospitals found that 11% of admitted patients experienced adverse events of which <u>48</u>% of these events were most likely preventable if the <u>right knowledge was applied</u>.
- The under-utilization of healthcare data- information knowledge contributes to improper clinical decisions, medical errors, under-utilization of resources and raise in healthcare delivery costs

Annual Accidental Deaths



Medical errors are 1st-5th most common cause of death in the world The difference is that RTA are numbers while medical errors are estimates (underreported) Pressure ulcers are considered a direct error

11

3rd leading cause of Death in USA ?

Medical Errors1200 per day / 50 per hour

 The total number of Americans dying prematurely from medical errors was about 400,000 per year*

This number highly increasing since the 90s

 The epidemic of patient harm in hospitals must be taken more seriously if it is to be curtailed**

*Office of the Inspector General (OIG) of the Department of Health and Human Services
** Journal of Patient Safety: September 2013 - Volume 9 - Issue 3 - p 122–128 doi: 10.1097/PTS.0b013e3182948a69

- One in 5 patients discharged from the hospital end up sicker within 30 days and half are medication related
- One of 10 inpatients suffers as a result of a mistake with medications cause significant injury or death
- Preventable medical errors cost the US \$17 to \$29 billion dollars a year
- Source: Safe Practices for Better Healthcare Why Implement Practices to Improve Safety at <u>http://www.qualityforum.org/News_And_Resources/Press_Kits/Safe_Practices_for_Better_Healthcare.a</u> spx

Cost of Medical Error

- Estimated direct cost of medical error in US \$17 billion
- Preventable adverse events to Medicare patients estimated to cost in excess of \$880 million annually
- A study from 2008 revealed overall cost of medical error in the US to be >\$19.5 billion
 - Total cost per error approx. \$13,000
 - >2500 avoidable deaths
 - >10 million days of lost productivity at work, costing \$1.1 billion in short-term disability claims

Current Objectives

Endorsement of CPOE

We have to use CPOE for quality and safety

- Establish CPOE as an Institutional Commitment and Goal
- Identify CPOE as a Quality and Safety Improvement Initiative

Types of Error

- Diagnostic
 - Failure to order appropriate test
 - Delay in diagnosis
 - Failure to act on results or monitoring
- Treatment
 - Error in the performance of an operation, procedure, or test
 - Error in administering the treatment
 - Error in the dose or method of using a drug
- Preventative
 - Failure to provide appropriate monitoring or follow-up
 - Failure to provide prophylactic treatment
- Others
 - Failure of communication
 - Equipment failure organizational error leads to medical eror. Ex. Gas supply, electricity.
 - Other system failure

Type of Errors

Preventive	Diagnostic	Treatment	Other
 Failure to provide prophylactic treatment 	 Error or delay in diagnosis 	 Error in the performance of an operation, 	 Failure of communication
	 Failure to employ indicated tests 	procedure, or test	Equipment failure
 Inadequate monitoring or follow-up of treatment 	 Failure to act on results of monitoring or testing 	 Error in administering the treatment Error in the dose or method of using a drug Avoidable delay in treatment or in 	 No Policy/ procedure Other system failure Poor coordination in the care plan
		responding to an abnormal test	

Estimation for Cost of Most Common Medical Errors

Event	Number of injuries 2008	% considered due to error	Medical cost per event	Total cost per event			
Pressure ulcers	394,699	>90	\$8730	\$10,288			
Post- operative infections	265,995	>90	\$13,312	\$14,458			
Mechanical complication of device, implant or graft	268,353	10-35	\$17,709	\$18,771			
Hemorrhage complicating procedure	156,433	35-65	\$8,665	\$12,272			
	Organizations should put into mind that when spending a lot of money on a system for preventing errors they're eventually saving money by reducing medical errors						

York Rights Reserved





Something significant is wrong or missing in Healthcare

"Modern healthcare is the most complex human

activity there is, due to interpersonal relationships

between many different clinicians with



different expertise and interests, and we haven't figured out how to make that work well.

We have come to a full stop against a complex environment that resists accepting change on the scale clearly required"

Lucian Leape, MD

Founder of the Modern Patient Safety Movement Adjunct professor of health policy at Harvard University "Error in Medicine," published in JAMA, 1994

- "the science and technologies involved in healthcare -- the knowledge, skills, care interventions, devices and drugs – have advanced more rapidly than our ability to deliver them safely, effectively, and efficiently"
 - IOM. 2001. Crossing the Quality Chasm: A New Health System for the 21st Century.

Why is Healthcare Prone to Error?

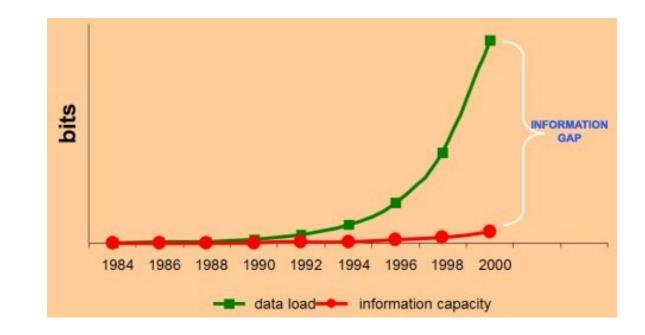
- Multiple and varied interactions with technology, tools, and devices between people, technology, and so on.
- Many individuals involved in care
- Multiple hand-offs between shifts and teams
- High acuity of illness
- Distracting work environment working in ER, distraction from people
- Rapid, time-pressured decisions
- High volume, unpredictable patient flow High volume of patients,

work flow

• Multiple step processes ex. treatment

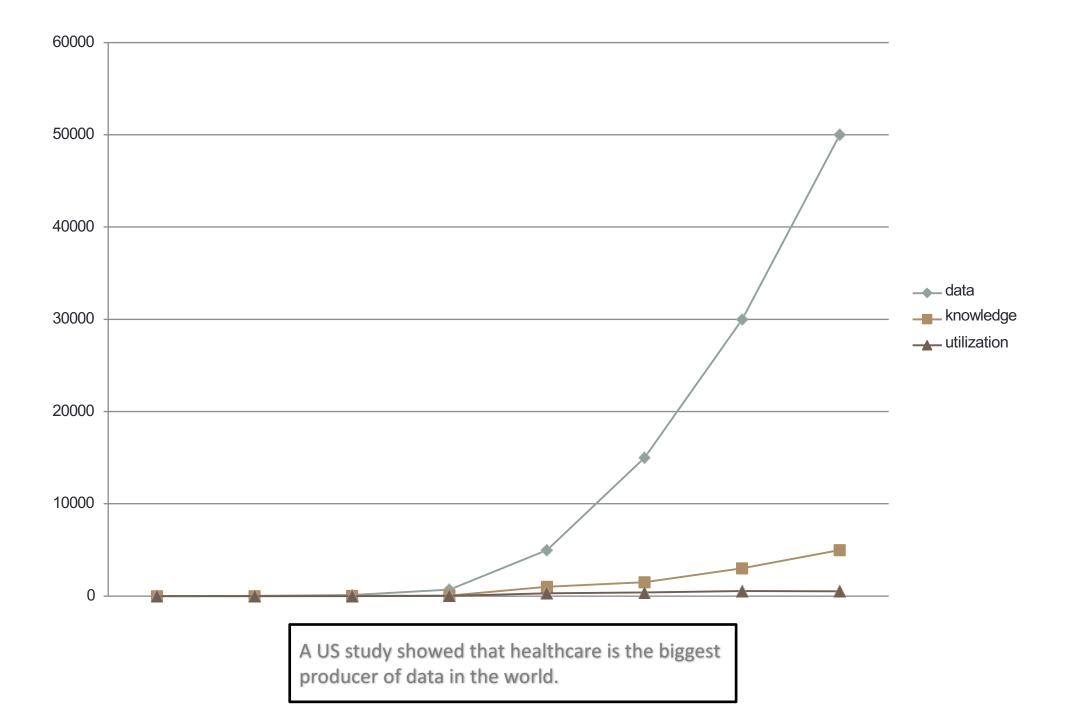
Flood of Information

 Huge gap in data acquisition and information → knowledge capacity



System can process data into information and knowledge. The amount of knowledge is huge therefore, it is not always utilized.

Data – knowledge - utilization



What Medical Informatics tools can?...

- Improve communication ex.CPOE
- Make knowledge more readily accessible ex. Evidence based medicine, drug library
- Assist with calculations
- Perform checks in real time ex. When you forget that the patient has allergies to certain drug the system will remind you
- Assist with monitoring
- Provide decision support
- Require key pieces of information (dose, e.g.)
- And more....

Why health Informatics?

- > Improve the access of care Consumer health informatics
- Access to educational opportunities for health professionals as well as consumers CPOE
- >Efficient communications and documentations EHR
- Cost effective telemedicine ex. Instead of having a radiologist available at all times
- Consumer (patient) engagement
- Prompt alerts and notifications
- >Decision support system
- Manage data and store information
- >Secured access and defined privileges

Why health Informatics?

- Protocol guided and standardized practices CPOE, and many other things if applied correctly
- >Accessible documentations
- >Legible orders, requests, and reports
- >Integrated care delivery
- Support Lean processes toward more efficient workflows
- > Facilitate productivity measurements and monitoring
- Reinforce clinicians compliance on evidence-based practices.
- >Others

Local study on medications error

Methodology:

Study setting:

The study took place at King Khalid University Hospital in the

outpatient and inpatient pharmacies from October 2011 to April 2012.

Study subject:

The target population for this study was handwritten and electronic prescriptions.

Study design:

Prospective study of randomized collection of prescriptions.

Just a Culture Principles

- Values and expectations-what is important to the organization
- System design and continual redesign of system and address processes and systems so it does not happen to someone else
 - Coaching and open environment
- Peer to peer coaching where helping one another to stay safe and make sure things are being done correctly
 - Just culture (blame free) algorithms can help
- Patient safety needs to be viewed as a strategic priority
- The entire hospital needs to be focused on patient safety if a culture of safety is to be established

Dekker S. Just Culture: Balancing Safety and Accountability. Burlington, VT: Ashgate Publishing;; 2008. Marx D. Patient Safety and the Just Culture: A Primer for Health Care Executives. New York, NY: Trustees of Columbia University;; 2001.

Blame culture \rightarrow second victim (person involved in the error) \rightarrow depression of second victim

Examples:

- Having a patient safety plan
- Doing an annual report card, use trigger tools (easily shows a person's mistake before it's been made)
- Have a patient safety committee
- Many also have separate medication management committee from safety committee (more attention)
- Education for staff to make sure they know near misses must be included in definition of medical error
- Doing patient safety walkabout rounds by senior leaders

Examples:

- Having safety department champion
- Provide literature and articles on patient safety on intranet
- Considering patient safety week fair with local articles in newspaper and patient safety literature
- Board report at least yearly, consider more frequent, written reports of sentinel events, and whether patient informed
- Considering training & development

It's complicated environment when we say medical errors that dose not mean that doctors are careless

As was found in studies, less than 2% of errors are due to intentional or personal errors; 98% of errors are due to environmental factors

Key success of a Culture of Safety

- Acknowledgment of the high-risk nature of an hospital's activities and the determination to achieve consistently safe operations
- A blame-free environment where individuals are able to report errors or near misses without fear of reprimand or punishment
- Encouragement of collaboration across ranks and disciplines to seek solutions to patient safety problems
- Organizational commitment and resources to address safety concerns

People Factors in Error

- Fatigue ex. Being on call
- Interruptions
- Unfamiliar situations (new cases)
- Miscommunication
- Heavy workload

When a mistake has been made you have to make it visible, not by showing it on social media, but by reporting/documenting it. Try not to mention the name of the person who made the mistake.

Event 'Management'

Action in order:

- Prevent failure but if you can't,
- Make failure visible and
- Prevent adverse effects of failure or
- Mitigate the adverse effects
- Learn from all events



USA TODAY Thursday, June 28, 2001

Hospital mistakes must be disclosed Accreditation at risk if patients aren't told

By Robert Davis Hospitals must now tell patients and their families when they have been hurt by a medical error, according to nationwide standards that take effect Sunday.

The standards by the nation's leading health care accrediting agency are the first to hold hospitals accountable for a higher

Patient Safety and Quality Improvement Act of 2005

- Signed into Law 7/29/05
- Nationwide Goals
 - "To encourage the voluntary reporting of medical errors"
 - Report to "Certified Patient Safety Organizations"
- Many providers fear repercussions
 - Act provides federal legal privilege and confidentiality protection

Errors Provide Useful Information

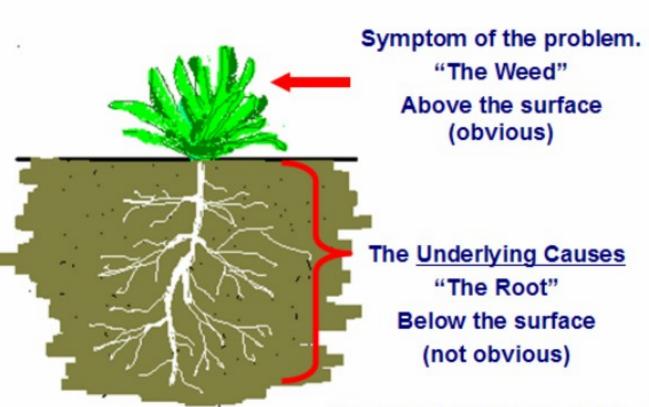
- We can learn more from our failures than from success
- Our processes can be improved when studied

"Give me a fruitful error anytime, full of seeds, bursting with its own corrections. You can keep your sterile truth to yourself." Vilfred Pareto



39

Root Cause Analysis Basics



The word root, in root cause analysis, refers to the underlying causes, not the one cause.

5 WHYs used with RCA. Looking at the root (cause) of the problems not the effect!

The point of documenting medical errors is not to blame anyone, but to learn from past mistakes and avoid making them in the future.

Ex. Someone wrote a wrong prescription? Why was the mistake made? A junior wrote the prescription. Why was he the one who wrote it? A consultant wasn't available. We got to the root of the problem which was shortage of staff. (Root cause analysis)

Which patients are most at risk of medication error?

- patients on multiple medications
- patients with another condition, e.g. renal impairment, pregnancy, liver impairment
- patients who cannot communicate well
- patients who have more than one doctor
- patients who do not take an active role in their own medication use
- children and babies (dose calculations required)

Examples of Analysis Tools

- Root Cause Analysis (RCA)
 - causal or risk trees
- Data Mining and Case-Based Reasoning (CBR)
 - trend and cluster analysis
 - Failure Mode and Effects Analysis

<u>(FMEA)</u>

Probabilistic Risk Assessment (PRA)

Sense-Making

Systems Process Changes Structure, Environment, and People

- Simplification
- Standardization different standards in different countries
- Process design includes prompts
- Elimination of sound/look-alikes abbreviatons, perscriptions
- Environment/product improvements
- Training
- Teamwork
- Communication

Selected Resources for Patient Safety Information

- Agency for Healthcare Research and Quality <u>www.ahrq.gov</u>
- Institute of Medicine of the National Academies <u>www.iom.edu</u>
- The Joint Commission
 <u>www.jointcommission.org</u>
- Institute for Safe Medication Practices <u>www.ismp.org</u>
- National Patient Safety Foundation <u>http://npsf.org/</u>
- JCAHO "Speak Up" program
 - http://www.jcaho.org/general+public/patient+safety/speak+up/index.htm
- Also WHO

National Academy of Science's Institute of Medicine (IOM)

- In 2001, the IOM laid out six dimensions of quality for health care.
- According to the IOM, health care should be

Safe

- Effective
- Patient-centered
- Timely
- Efficient
- Equitable

Why is Patient Safety Important to Me?

- It can save lives
- It can make YOU a better physician
- It is part of every hospital plan no matter where you work
- You can help others in your team/hospital save lives and be better physicians/staff
- Required by accreditation bodies
- It is a required part in most resident education curriculum worldwide
- Etqan

Thank you

albarrak@ksu.edu.sa

Informatics team:

Deema Alfaris Lina Alshehri Luluh Alzeghayer Munira Alhussaini Moneerah Alomari Raghda Alqassem Renad Alqahtani Rifan Hashim Samar Alotabi Sara Alqahtani Sara Alqahtani Sara Alqahtani